Fenix SECURITIES℠

DTC IN FORM

DTC RECEPTION	**DTC # 0595 – Vision Financial Markets**
PLEASE RECEIVE IN THE ACCT#	
ACCOUNT NAME	

SYMBOL   **# SHARES**

_____   _____

_____   _____

_____   _____

_____   _____

_____   _____

_____   _____

The shares above would be delivered from the following instruction:

COUNTERPARTY FIRM DTC #: _____

COUNTERPARTY ACCT #: _____

COUNTERPARTY ACCT NAME: _____

TRADE DATE: ☐☐ ☐☐ ☐☐☐☐   MM/DD/YYYY

SETTLEMENT DATE: ☐☐ ☐☐ ☐☐☐☐   MM/DD/YYYY

✗

Client Signature   Print Name   Date