

COMMISSION FEES

SERVICE FEE SCHEDULE⁽¹⁾	
Commissions Stocks & ETFs per trade	\$2.00 – \$100.00 per trade
Commissions Stocks & ETFs % of trade value	0.15% - 1.50% (Min \$30 - \$200)
Commissions Options	\$7.00 – \$50.00 per trade + \$0.60 - \$10.00 per contract
US Treasuries	5bp – 15bp (Min \$100 – \$150)
Fix Income (Sovereign – Corporate)	5bp – 450bp (Min\$50- \$150)
Structure Products	5bp – 450bp (Min\$50- \$150)
ECN/Routing Fee	\$0.005 per share
Offshore Mutual Funds per trade	\$45 - \$60
Offshore Mutual Funds % of trade value	0.04% - 1.50%
BROKERAGE SERVICES⁽¹⁾	
ACAT Processing Transfer Out (full or partial)	\$75.00 – \$80.00 per ACAT
DTC Delivery or Transfers	\$35.00 per position
ADR Pass-through Fees	\$0.01 – \$0.03 per share (As passed by the ADR agent bank)
EUROCLEAR Delivery or Transfers	\$55.00 per position
US Wire Transfer	\$35.00 per request
International Wire Transfer	\$75.00 per request
Corporate Actions (Voluntary Re-Org)	\$30.00 – \$55.00
Bond Maturity / Redemption	\$20.00 per event
Stock Mandatory Redemption (position exited out of DTC)	\$40.00
Deposit and/or Return of physical securities with DTC	\$50.00
Foreign Physical Certificate Receive/Deliver	\$250.00 per transfer
Worthless/Delisted or Non-transferable Securities	\$5.00 per write off
Written Off	
Error (cancel & rebill)	\$15 - \$25 per error
Error (cancel & rebill) Mutual Fund Transactions	\$15 - \$25 per error
Retail Handling Fee	\$1.75 per confirm
Pre-Payment	\$35.00 + Interest
Blue Sheets	\$15.00 – \$35.00 per blue sheet
Fail to Receive/Deliver	\$25.00
MISCELLANEOUS FEES⁽¹⁾	
Account Opening	\$0.00 – USD 4.00 per holder
Account Maintenance	\$10.00 per month
Inactive Account Fee	\$25.00 quarterly
W8 Validation Fee	\$10 - \$20.00 per year
Debit Card Maintenance (VISA)	\$140.00 per year + fees
Delivery statement or confirm	Electronic Delivery FREE

(1) The cost can vary depending of the clearing house selected by the client, StoneX or Apex or Vision Financial Markets