

Fenix Securities, LLC (FENX – FNXE – FNXS) - Held NMS Stocks and Options Order Routing Public Report

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2nd Quarter, 2022

April 2022

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	3.47	5.62	10.74	80.17

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities (CDRG)	36.53	38.10	61.76	76.92	29.28	5.2000	10.0000	6.7190	8.7045	3.2320	8.4342	1.0550	1.4203
INTL FCSTONE SECURITIES INC. (INTL)	34.71	14.29	0.00	0.00	42.68	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Speedroute (SPDR)	22.81	47.62	38.24	23.08	20.62	-3.0650	-5.0000	-2.6590	-4.8451	-2.6930	-4.8400	15.3924	6.6039
Two Sigma Securities, LLC (SOHO)	5.95	0.00	0.00	0.00	7.42	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

Citadel Securities (CDRG):

Fenix Securities receives PFOF from Citadel in the amount of 0.001 per NMS >= \$1.00 during core market hours 9:30 to 15:59:59

INTL FCSTONE SECURITIES INC. (INTL):

Fenix Securities, LLC does not receive payment from Sterne, Agee & Leach, Inc for directing order flow.

Speedroute (SPDR):

Fenix Securities, LLC receives payment from Speed Route for directing order flow in securities priced at > \$1.00 NMS names during core hours (10 mills per share).

Two Sigma Securities, LLC (SOHO):

Fenix Securities, LLC receives payment from Two Sigma Securities for direction order flow in securities priced at > \$1.00 NMS names during core hours (10 mills per share). For additional information regarding Retail Execution Quality please refer to: <https://twosigmasecurities.com/execution-statistics-q3/>

April 2022

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	13.04	3.93	8.76	74.28

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Speedroute (SPDR)	37.86	40.74	23.08	40.00	37.89	-76.9835	-4.8427	-17.2850	-5.0000	-24.6795	-5.0000	-216.0837	-1.1716
Citadel Securities (CDRG)	32.49	39.81	75.38	50.34	26.83	142.5700	9.5576	164.0460	9.1622	44.2940	9.7422	658.3170	2.8727
INTL FCSTONE SECURITIES INC. (INTL)	19.26	19.44	1.54	9.66	21.30	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Two Sigma Securities, LLC (SOHO)	10.39	0.00	0.00	0.00	13.98	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

Speedroute (SPDR):

Fenix Securities, LLC receives payment from Speed Route for directing order flow in securities priced at > \$1.00 NMS names during core hours (10 mills per share).

Citadel Securities (CDRG):

Fenix Securities receives PFOF from Citadel in the amount of 0.001 per NMS >= \$1.00 during core market hours 9:30 to 15:59:59

INTL FCSTONE SECURITIES INC. (INTL):

Fenix Securities, LLC does not receive payment from Sterne, Agee & Leach, Inc for directing order flow.

Two Sigma Securities, LLC (SOHO):

Fenix Securities, LLC receives payment from Two Sigma Securities for direction order flow in securities priced at > \$1.00 NMS names during core hours (10 mills per share). For additional information regarding Retail Execution Quality please refer to: <https://twosigmasecurities.com/execution-statistics-q3/>

April 2022

Options

Summary

Material Aspects:

Citadel Securities (CDRG):
Fenix Securities receives PFOF from Citadel in the amount of 0.001 per NMS >= \$1.00 during core market hours 9:30 to 15:59:59

Speedroute (SPDR):
Fenix Securities, LLC receives payment from Speed Route for directing order flow in securities priced at > \$1.00 NMS names during core hours (10 mills per share).

INTL FCSTONE SECURITIES INC. (INTL):
Fenix Securities, LLC does not receive payment from Sterne, Agee & Leach, Inc for directing order flow.

Two Sigma Securities, LLC (SOHO):
Fenix Securities, LLC receives payment from Two Sigma Securities for direction order flow in securities priced at > \$1.00 NMS names during core hours (10 mills per share). For additional information regarding Retail Execution Quality please refer to: <https://twosigmasecurities.com/execution-statistics-q3/>

May 2022

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	7.16	5.97	10.81	76.05

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities (CDRG)	38.62	50.98	60.00	62.34	32.41	86.3530	8.6915	57.9700	9.2060	65.5490	9.2565	71.2030	0.2969
Speedroute (SPDR)	30.90	23.53	17.65	14.29	35.00	-15.4415	-3.2248	-12.5000	-4.9801	-9.8470	-4.3390	42.0070	0.0723
INTL FCSTONE SECURITIES INC. (INTL)	21.14	25.49	22.35	23.38	20.31	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Two Sigma Securities, LLC (SOHO)	9.34	0.00	0.00	0.00	12.28	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

Citadel Securities (CDRG):
Fenix Securities receives PFOF from Citadel in the amount of 0.001 per NMS >= \$1.00 during core market hours 9:30 to 15:59:59

Speedroute (SPDR):
Fenix Securities, LLC receives payment from Speed Route for directing order flow in securities priced at > \$1.00 NMS names during core hours (10 mills per share).

INTL FCSTONE SECURITIES INC. (INTL):
Fenix Securities, LLC does not receive payment from Sterne, Agee & Leach, Inc for directing order flow.

Two Sigma Securities, LLC (SOHO):

May 2022

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	12.07	0.00	15.52	72.41

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
INTL FCSTONE SECURITIES INC. (INTL)	85.44	100.00	0.00	100.00	79.89	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
VOLX (VOLX)	14.56	0.00	0.00	0.00	20.11	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

INTL FCSTONE SECURITIES INC. (INTL):

Fenix Securities, LLC does not receive payment from Sterne, Agee & Leach, Inc for directing order flow.

VOLX (VOLX):

Fenix Securities, LLC receives PFOF from Volant Financial in the amount of 0.10 per contract for all NMS during core market hours 9:30 to 15:59:59

June 2022

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	3.05	9.73	16.03	71.19

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Speedroute (SPDR)	38.25	12.90	50.51	43.56	36.46	-0.2500	-5.0000	-9.5930	-5.0000	-7.9630	-5.0000	6.9890	1.4922
Citadel Securities (CDRG)	35.99	22.58	42.42	53.99	31.63	1.6000	10.0000	16.6200	10.0000	16.2990	10.0000	1.0730	0.4293
INTL FCSTONE SECURITIES INC. (INTL)	22.32	64.52	7.07	2.45	27.07	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Two Sigma Securities, LLC (SOHO)	3.44	0.00	0.00	0.00	4.83	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

Speedroute (SPDR):

Fenix Securities, LLC receives payment from Speed Route for directing order flow in securities priced at > \$1.00 NMS names during core hours (10 mills per share).

Citadel Securities (CDRG):

Fenix Securities receives PFOF from Citadel in the amount of 0.001 per NMS >= \$1.00 during core market hours 9:30 to 15:59:59

INTL FCSTONE SECURITIES INC. (INTL):

Fenix Securities, LLC does not receive payment from Sterne, Agee & Leach, Inc for directing order flow.

Two Sigma Securities, LLC (SOHO):

Fenix Securities, LLC receives payment from Two Sigma Securities for direction order flow in securities priced at > \$1.00 NMS names during core hours (10 mills per share). For additional information regarding Retail Execution Quality please refer to: <https://twosigmasecurities.com/execution-statistics-q3/>

June 2022

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	4.62	4.06	7.31	84.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Two Sigma Securities, LLC (SOHO)	38.25	0.00	0.00	0.00	45.54	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Speedroute (SPDR)	26.38	24.32	21.54	24.79	26.86	-7.0385	-3.1882	-13.5960	-5.0000	-18.1245	-4.9629	36.3147	0.7156

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities (CDRG)	18.62	24.32	41.54	48.72	14.58	23.1140	10.0000	40.1830	10.0000	55.5910	9.8120	40.7040	0.8753
INTL FCSTONE SECURITIES INC. (INTL)	16.75	51.35	36.92	26.50	13.02	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

Two Sigma Securities, LLC (SOHO):

Fenix Securities, LLC receives payment from Two Sigma Securities for direction order flow in securities priced at > \$1.00 NMS names during core hours (10 mills per share). For additional information regarding Retail Execution Quality please refer to: <https://twosigmasecurities.com/execution-statistics-q3/>

Speedroute (SPDR):

Fenix Securities, LLC receives payment from Speed Route for directing order flow in securities priced at > \$1.00 NMS names during core hours (10 mills per share).

Citadel Securities (CDRG):

Fenix Securities receives PFOF from Citadel in the amount of 0.001 per NMS >= \$1.00 during core market hours 9:30 to 15:59:59

INTL FCSTONE SECURITIES INC. (INTL):

Fenix Securities, LLC does not receive payment from Sterne, Agee & Leach, Inc for directing order flow.

June 2022

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	8.39	91.61

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
INTL FCSTONE SECURITIES INC. (INTL)	91.40	0.00	0.00	100.00	90.61	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
VOLX (VOLX)	8.60	0.00	0.00	0.00	9.39	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

INTL FCSTONE SECURITIES INC. (INTL):

Fenix Securities, LLC does not receive payment from Sterne, Agee & Leach, Inc for directing order flow.

VOLX (VOLX):

Fenix Securities, LLC receives PFOF from Volant Financial in the amount of 0.10 per contract for all NMS during core market hours 9:30 to 15:59:59